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PATENT & TRADEMARK OFFICE

#13

Modified Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 7-80) PATENT AND TRADEMARK OFFICE **LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)**	**ATTORNEY DOCKET NO.:** 14103.0001	**SERIAL NO.** 09/398,268
	APPLICANT: Ross, Jr. et al.	
	FILING DATE: September 17, 1999	**GROUP:** ~~2750~~ 2142

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,029,141	02/22/00	Bezos et al.	705	27	
	AB	5,319,542	06/07/94	King, Jr. et al.	364	401	
	AC	5,590,197	12/31/96	Chen et al.	380	24	
	AD	5,715,314	02/03/98	Payne et al.	380	24	
	AE	5,717,860	02/10/98	Graber et al.	395	200.12	
	AF	5,745,681	04/28/98	Levine et al.	395	200.3	
	AG	5,812,769	09/22/98	Graber et al.	395	200.12	
	AH	5,819,285	10/06/98	Damico et al.	707	104	
	AI	5,991,735	11/23/99	Gerace	705	10	
	AJ	5,848,396	12/08/98	Gerace	705	10	
	AK	5,991,740	11/23/99	Messer	705	27	

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	AL	Dialog file 16 (database PROMT(R)), No. 6016914, BookSite launches version 3.0 of the popular electronic commerce web site." Business Wire, 2 pages, Feb. 23, 1996
	AM	Dialog file 16 (database PROMT(R)), No. 6296727, "Amazon.com Introduces "Amazon.com Associates" - a new model for internet-based commerce." Business Wire, 3 pages, Jul 18, 1996.
	AN	Can Mixing 'Cookies' with Online Marketing be a Receipe for Heartburn? (Infoworld, vol. 18, No. 30), Jul. 22, 1996.
	AO	RealTime Travel Info Available Online (Dialog database file 9, document 01107096), Jan. 17, 1995.
	AP	Online Growth Virtually Untapped; PC Vendors Taking More Advantage of Booming Sales (Computer Retail Week vol. 4, No. 64, p. 160), Jun. 6, 1994.
	AQ	Selected document from Books.com Web site describing Book Stackes Unlimited links partner program.
	AR	Selected documents from Incognito Cafe Web site describing several on-line bookstore links, undated (5 printed pages).
	AS	Resnick P., Iacovou, N., Suchak, M., Bergstrom, P., and Riedl, J., GroupLens: An Open Architecture for Collaborative Filtering of Netnews. *Proceedings of ACM 1994 Conference on Computer Supported Cooperative Work*, Chapel Hill, NC, pp. 175-186.
	AT	Balabanovic, M., and Shoham, Y., Fab: Content-Based Collaborative Recommendation. *Communications of the ACM*, vol. 40., No. 3, (Mar. 1997) pp. 66-73.

EXAMINER:	DATE CONSIDERED: 1/6/03

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ATTORNEY DOCKET NO.: 14103.0001	SERIAL NO. 09/398,268
APPLICANT: Ross, Jr. et al.	
FILING DATE: September 17, 1999	GROUP: ~~2756~~ 2142

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,515,270	5/7/96	Weinblatt			
	AB	5,537,314	7/16/96	Kanter			
	AC	5,699,528	12/16/97	Hogan			
	AD	5,710,887	1/20/98	Chelliah et al.			
	AE	5,712,979	1/27/98	Graber et al.			
	AF	5,721,827	2/24/98	Logan et al.			
	AG	5,724,424	3/3/98	Gifford			
	AH	5,809,481	9/15/98	Baron et al.			
	AI	5,825,884	10/20/98	Zdepski et al.			
	AJ	5,860,068	1/12/99	Cook			
	AK	5,862,325	1/19/99	Reed et al.			
	AL	5,878,219	3/2/99	Vance, Jr. et al.			
	AM	5,884,033	3/16/99	Duvall et al.			
	AN	5,884,045	3/16/99	Kurihara			
	AO	5,895,091	4/6/99	Hunt et al.			
	AP	5,895,468	4/20/99	Whitmyer, Jr.			
	AQ	5,898,836	4/27/99	Freivald et al.			
	AR	5,907,830	5/25/99	Engel et al.			
	AS	5,913,040	6/15/99	Rakavy et al.			
	AT	5,913,202	6/15/99	Motoyama			
	AU	5,915,243	6/22/99	Smolen			
	AV	5,918,239	6/29/99	Allen et al.			
	AW	5,926,798	7/20/99	Carter			
	AX	5,930,765	7/27/99	Martin			
	AY	5,937,392	8/10/99	Alberts			
	AZ	5,940,843	8/17/99	Zucknovich et al.			
	BA	5,956,709	9/21/99	Xue			
	BB	5,963,915	10/5/99	Kirsch			
	BC	5,978,766	11/2/99	Luciw			

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	BD	[illegible]	11/9/99	Nazem et al.			
	BE	5,983,270	11/9/99	Abraham et al.			
	BF	5,987,498	11/16/99	Athing et al.			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	BG	"Agent-Mediated Electronic Commerce: Issues, Challenges and Some Viewpoints", Hyacinth S. Nwana, Jeff Rosenschein, Tuomas Sandholm, Carles Sierra, Pattie Maes, and Rob Guttmann, Proceedings of the 2nd International Conference on Autonomous Agents, pp. 189-196, May (1998).
	BH	"An Adaptive Algotithm for Learning Changes in User Interests", Dwi H. Widyantoro, Thomas R. Ioerger and John Yen, Proceedings of Conference on Knowledge and Information Management, pp. 405-412, November (1999).
	BI	"A Multilevel Approach to Intelligent Information Filtering: Model, System, and Evaluation", ACM Transactions on Information Systems, Vol. 15, Issue 4 (1997), pp. 368-399.
	BJ	Web Pages, http://www.broadvision.com (1996).

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	APPLICANT: Ross, Jr. et al.	RECEIVED
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U.S. PATENT DOCUMENTS

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EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
JMC	AA	5,515,270	5/7/96	Weinblatt			
JMC	AB	5,537,314	7/16/96	Kanter			
JMC	AC	5,699,528	12/16/97	Hogan			
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JMC	AE	5,712,979	1/27/98	Graber et al.			
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JMC	AY	5,937,392	8/10/99	Alberts			
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	BD	5,983,227	11/9/99	Nazem et al.			
	BE	5,983,270	11/9/99	Abraham et al.			
	BF	5,987,498	11/16/99	Athing et al.			

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	BI	"A Multilevel Approach to Intelligent Information Filtering: Model, System, and Evaluation", ACM Transactions on Information Systems, Vol. 15, Issue 4 (1997), pp. 368-399.
	BJ	Web Pages, http://www.broadvision.com (1996).

EXAMINER: Dan N. Coach DATE CONSIDERED: 1/6/03

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JDC	AC	5,590,197	12/31/96	Chen et al.	~~380~~	~~24~~	
JDC	AD	5,715,314	02/03/98	Payne et al.	~~380~~	~~24~~	
JDC	AE	5,717,860	02/10/98	Graber et al.	~~395~~	~~200.12~~	
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JDC	AG	5,812,769	09/22/98	Graber et al.	~~395~~	~~200.12~~	
JDC	AH	5,819,285	10/06/98	Damico et al.	~~707~~	~~104~~	
JDC	AI	5,991,735	11/23/99	Gerace	~~705~~	~~10~~	
JDC	AJ	5,848,396	12/08/98	Gerace	~~705~~	~~10~~	
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JDC	AR	Selected documents from Incognito Cafe Web site describing several on-line bookstore links, undated (5 printed pages).
JDC	AS	Resnick P., Iacovou, N., Suchak, M., Bergstrom, P., and Riedl, J., GroupLens: An Open Architecture for Collaborative Filtering of Netnews. *Proceedings of ACM 1994 Conference on Computer Supported Cooperative Work,* Chapel Hill, NC, pp. 175-186.
JDC	AT	Balabanovic, M., and Shoham, Y., Fab: Content-Based Collaborative Recommendation. *Communications of the ACM,* vol. 40., No. 3, (Mar. 1997) pp. 66-73.

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